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Typed or Printed Name Cindy Hoang

Signature

Date September 6, 2002

**RESPONSE TO RESTRICTION  
REQUIREMENT**

Address to:  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket  
(Confirmation No.)

UCAL096CIP3  
9705

First Named Inventor

R.W. Mahley

Application Number

09/782,757

Filing Date

February 12, 2001

Group Art Unit

1647

Examiner Name

C.J. Nichols

Title: *Compounds effecting neuron remodeling and assays for same*

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Sir:

This is in response to the Restriction Requirement dated August 8, 2002. The Restriction Requirement set forth a one-month time period for response, making a response due on or before September 8, 2002. Accordingly, this response is timely filed.

**I. REMARKS**

In the Restriction Requirement, the Examiner required election of one of the following groups of claims:

- Group I: Claims 1-4 and 19-22, drawn to a composition comprising an agent that reduces apolipoprotein E4 (apoE4) domain interaction and pharmaceutical formulations incorporating said agent;
- Group II: Claims 5-7 and 23-27, drawn to a method of reducing apoE4 domain interaction in a cell or in a bodily fluid;
- Group III: Claims 8-11, drawn to a method of promoting neuronal cell growth;
- Group IV: Claims 12-14, drawn to a method of reducing formation of neurofibrillary tangles in an individual;
- Group V: Claim 15-17, drawn to a method for reducing the risk or the severity of symptom of Alzheimer's disease (AD);
- Group VI: Claims 18 and 28, drawn to a method for reducing apoE4-mediated inhibition of neurite outgrowth.

Applicants hereby elect to prosecute the claims of Group I (claims 1-4 and 19-22), with traverse. Applicants expressly reserve the right under 35 USC §121 to file one or more divisional applications directed to the non-elected subject matter during the pendency of this application.

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/782,757
Filing Date	February 12, 2001
First Named Inventor	MAHLEY, ROBERT W.
Group Art Unit	1647
Examiner Name	NICHOLS, CHRISTOPHER J.
Attorney Docket Number	UCAL-096CIP3

Total Number of Pages in This Submission

3

### ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>1) Response to Restriction<br>Requirement (2 pgs.)<br>2) Return Postcard |
|--|---|---|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	PAULA A. BORDEN, Reg. No. 42,344
Signature	
Date	September 6, 2002

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Typed or printed name	Cindy Kim Hoang
Signature	
Date	September 6, 2002

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